



Gp 12633

# 7

06-04-03  
S.I.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Reinhold Noe  
Appl. No.: 10/070,603  
Conf. No.: 7652  
Filed: June 24, 2002  
Title: SYSTEM AND METHOD FOR OPTICAL INFORMATION TRANSMISSION  
Art Unit: 2633  
Examiner: Unknown  
Docket No.: 112740-533

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Mail Stop  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL UNDER 37 CFR 1.8**

Sir:

I hereby certify that the following documents relating to the above-identified application:

1. Transmittal of Information Disclosure Statement in duplicate,
2. Information Disclosure statement – PTO 1449
3. return receipt postcard

are being deposited with the United States Postal Service with sufficient postage as First

Class Mail in an envelope addressed to:

Mail Stop  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on May 21, 2003.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Robert Buzzcleri

Name of Person Mailing Correspondence

Signature

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112740-533

In Re Application Of: Reinhold Noe

Serial No.  
10/070,603

Filing Date  
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Examiner

Group Art Unit  
2633

Title: **SYSTEM AND METHOD FOR OPTICAL INFORMATION TRANSMISSION**

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Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

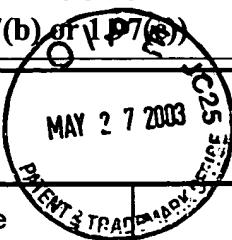
2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.  
112740-533

In Re Application: Reinhold Noe



Serial No.

10/070,603

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Group Art Unit

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Dated: May 21, 2003

William E. Vaughan (Reg. No. 39,056)

Bell, Boyd &amp; Lloyd LLC

P.O. Box 1135

Chicago, Illinois 60690-1135

CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Reinhold Noe  
Appl. No.: 10/070,603  
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**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent.

**U.S. PATENT DOCUMENTS**

| <u>Document No.</u> | <u>Date</u> | <u>Inventor</u> |
|---------------------|-------------|-----------------|
| 5,062,153           | 10-29-91    |                 |

**FOREIGN PATENT DOCUMENTS**

| <u>Document No.</u> | <u>Date</u> | <u>Country</u> |
|---------------------|-------------|----------------|
| EP 0 564 167        | 10-6-93     | European       |

**OTHER DOCUMENTS**

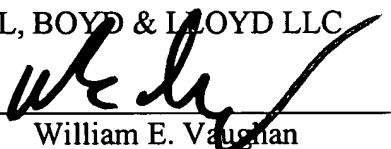
Heismann et al. "Automatic Polarization Demultiplexer for Polarization-Multiplexed Transmission Systems" Proceedings of the European Conference on Optical Communication 9-12-93, pages 401-404

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

  
William E. Vaughan

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P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 807-4292

Dated: May 21, 2003

|  |                 |                 |
|--|-----------------|-----------------|
| <b>INFORMATION DISCLOSURE CITATION</b><br><b>IN AN APPLICATION</b><br>(Use several sheets if necessary)<br><br>PTO Form 1449 | Atty Docket No. | Application No. |
|  | 112740-533      | 10/070,603      |
|  | Applicant       |                 |
|  | Noe             |                 |
|  | Filing Date     | Group           |
|  | 6-24-02         | 2633            |

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

|                     |  |   |
|---------------------|--|---|
| Examiner's Initials |  | <b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>  |
|                     |  | Heismann et al. "Automatic Polarization Demultiplexer for Polarization-Multiplexed Transmission Systems" Proceedings of the European Conference on Optical Communication 9-12-93, pages 401-404 |
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|                     |  |   |
|                     |  |   |

**Examiner:**

Date Considered:

**\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**